IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Hermann Bujard et al.

Serial No.: 09/892,227

Filed: June 25, 2001

For: TRANSGENIC ORGANISMS HAVING

TETRACYCLINE-REGULATED TRANSCRIPTIONAL

REGULATORY SYSTEMS (As Amended)

Attorney Docket No.: BBI-013C2CN2

Commissioner for Patents Box Missing Parts Washington, D.C. 20231 Group Art Unit: 1638

Examiner:

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

March 18, 2002

Date of Signature and of Mail Deposit

By: / / / / / / / Giulio A. DeConti, Jr., Esq.

Reg. No. 31,503

Attorney for Applicants

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of NonProvisional Application dated January 18, 2002, Applicants' attorney submits herewith a check in the amount of \$870.00 to cover the total owed by Applicants, including \$740.00 filing fee and \$130.00 surcharge, based on large entity status. A copy of the Notice to File Missing Parts of NonProvisional Application is also enclosed.

U.S. Serial No.: 09/892,227 -2-Group Art Unit: 1638 The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080. Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed. Respectfully submitted, LAHIVE & COCKFIELD, LLP Reg. No. 31,503 Attorney for Applicants 28 State Street Boston, MA 02109 (617) 227-7400 Date: March 18, 2002





STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/892,227

06/25/2001

Hermann Bujard

BBI-013C2CN2

CONFIRMATION NO. 7548

000959 LAHIVE & COCKFIELD 28 STATE STREET BOSTON, MA 02109 FORMALITIES LETTER

OC000000007331029

Date Mailed: 01/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

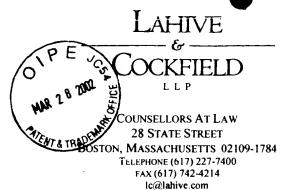
A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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March 18, 2002

Commissioner for Patents Box Missing Parts Washington, D.C. 20231

Re:

U.S. Application Scrial No. 09/892,227

Title: Transgenic Organisms Having Tetracycline-Regulated

Transcriptional Regulatory Systems (As Amended)

Filed: June 25, 2001

Inventors: Hermann Bujard et al.

Attorney's Docket No. BBI-013C2CN2

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Response to Notice to File Missing Parts of NonProvisional Application (2 pages, in duplicate):
- 2. Copy of Notice to File Missing Parts of NonProvisional Application (1 page);
- 3. Preliminary Amendment (4 pages);
- 4. Check for \$870.00 (filing fee and surcharge); and
- 5. A Return Receipt Postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

Certificate of First Class Mailing (37 CFR 1.8(a))

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Respectfully submitted,

LAHIVE & COCKFIELD, LLP

March 18, 2002

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